

Fees and Charges Disclosure Sheet
Securities Trading Services

Brokerage Commission	Negotiable (Minimum: HK\$100 per transaction)
SFC Transaction Levy	0.003% of transaction amount
HKEx Trading Fee	0.005% of transaction amount
Stamp Duty on Stock Transaction	0.1% of transaction amount Remarks: 1) Paid by both buyer & seller 2) Rounded up to the nearest dollar
CCASS Stock Settlement Fee	0.002% of gross value of an Exchange trade (Maximum: HK\$100, Minimum: HK\$2)
Trading Tariff	HK\$0.5 per transaction (Waived)

Scrip Handling and Settlement Services

Physical Scrip Deposit Fee	Waived
Transfer Deed	HK\$5 per transfer deed
Physical Scrip Withdrawal Fee	CCASS Charge: HK\$3.5 per board lot + Company Handling Fee: HK\$1 per board lot (Minimum: HK\$20)
Stock Deposit via CCASS Settlement Instructions (SI)	Waived
Stock Withdrawal via CCASS Settlement Instructions (SI)	0.02 % of total market value based on previous closing price (Maximum: HK\$500, Minimum: HK\$50)
Stock Deposit via CCASS Investor Settlement Instructions (ISI)	Waived
Stock Withdrawal via CCASS Investor Settlement Instructions (ISI)	HK\$10 per transaction
Compulsory Share Buy-back Fee	Company Handling Fee: HK\$100 + CCASS penalties & other related charges

Account Maintenance

Stock Balance or Account Confirmation Fee	HK\$100 per application
Copies of Contract Note / Daily Statement / Monthly Statement (The latest three months waived)	HK\$100 per duplicate copy
Stock Custody Fee	Waived

Nominee Services and Corporate Actions

Scrip Fee (At Book Close Date)	HK\$1.5 per board lot (Stocks stored in CCASS when issuing dividends)
Collection Fee of Cash / Scrip Dividend	0.5% of dividend amount (Minimum: HK\$20)
Collection Fee for Bonus Share	HK\$20 per stock
Right Issue Entitlement Charge	HK\$100 + CCASS Charge: HK\$0.8 per board lot (per transaction)
Fee for Right Exercise	
Fee for Excess Rights Application	
Cash Offer Charges	
Special / Open / Unconditional Offer Charges	
Fee for Tendering Shares under a Takeover Bid	HK\$5 per board lot (Minimum: HK\$20)
Fee for Change of Registration at Share Registrar	HK\$300 per claim + CCASS & relevant service fees

Financing and Other Services

IPO Subscription Handling Charge	HK\$100 per application
Financing Charge for Subscription of Securities	Subject to market rate
Cash Account Overdue Interest	Prime Rate + 6% (Negotiable)
Margin Account Overdue Interest	Prime Rate + 3% (Negotiable)
Remittance	CHATS (Local) : HK\$100 per transaction T/T (Overseas) : HK\$200 + Bank Charges (charges will be deducted from T/T amount) per transaction
Foreign Currency Exchange Handling Charge	HK\$100 per case + Bank Charges per transaction
Bank Transfer Handling Charge (For Withdrawal)	HK\$100 per case
Returned Cheque Charge	HK\$100 per case
Over 1 Month Unknown Deposit Charge	HK\$50 per deposit

Note:

1. Odd lots are charged as board lots.
2. For enquiries, please contact your account executive or our Investment Services Department.
3. Company reserves the right to make any amendment without further notices.